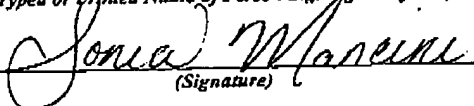


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| CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) | | | Docket No. INI-0031-D |
| Applicant(s): Moore, Jr., et al. | | | |
| Application No. 10/729,616 | Filing Date December 5, 2003 | Examiner J. Chen | Group Art Unit 3637 |
| Invention: PLASTIC PALLET DESIGN | | | RECEIVED CENTRAL FAX CENTER JAN 17 2005 |
| <p>I hereby certify that this <u>IDS Transmittal (2 pgs), IDS Statement (1 pg), IDS (1 pg) & Form PTO-1449 (1 pg)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 872-9306</u>)</p> <p>on <u>January 17, 2005</u> (Date)</p> <p style="text-align: right;">Sonia Mancini (Typed or Printed Name of Person Signing Certificate)</p> <p style="text-align: right;"> (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p> | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
INI-0031-DIn Re Application Of: **Moore, Jr., et al.**

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|----------|--------------|----------------|------------------|
| 10/729,616 | December 5, 2003 | J. Chen | 23413 | 3637 | 2913 |

Title: **PLASTIC PALLET DESIGN**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

P10A/REV05

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | | Docket No. INI-0031-D |
|---|--|----------------------------|------------------------------|-------------------------------|---------------------------------|
| In Re Application: Moore, Jr., et al. | | | | | |
| Application No. 10/729,616 | Filing Date December 5, 2003 | Examiner J. Chen | Customer No. 23413 | Group Art Unit 3637 | Confirmation No. 2913 |
| Title: PLASTIC PALLET DESIGN | | | | | |
| <div style="text-align: center;">Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</div> <div><input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.<div style="margin-left: 20px;"><input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.</div></div> <div><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</div> <div style="margin-top: 10px;">WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div style="width: 45%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Transmission by Facsimile*</div><div style="font-size: x-small;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. (703) 872-9306)</div><div style="margin-top: 10px;">January 17, 2005 (Date) Sonia Mancini <small>Typed or Printed Name of Person Signing Certificate</small></div></div><div style="width: 45%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Mailing by First Class Mail</div><div style="font-size: x-small;">I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date) <div style="text-align: center; font-size: x-small;">Signature of Person Mailing Correspondence</div> <div style="text-align: center; font-size: x-small;">Typed or Printed Name of Person Mailing Certificate</div></div></div><div style="margin-top: 20px;"><div style="display: flex; align-items: center;"><div style="text-align: center;"> Pamela J. Curbelo Registration No. 34,676 Customer No. 23413 (860) 286-2929</div><div style="margin-left: 20px;">Dated: January 17, 2005</div></div><div style="margin-top: 10px; font-size: x-small;">*This certificate may only be used if paying by deposit account.</div></div></div> | | | | | |
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STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENTDocket No.
INI-0031-D

In Re Application Of: Moore, Jr. et al.

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|----------|--------------|----------------|------------------|
| 10/729,616 | December 5, 2003 | J. Chen | 23413 | 3637 | 2913 |

Invention: PLASTIC PALLET DESIGN

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


SignaturePamela J. Curbelo
Registration No. 34,676
Customer No. 23413
(860) 286-2929

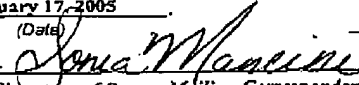
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January 17, 2005

(Date)


Signature of Person Mailing Correspondence

Sonia Mancini VIA FACSIMILE

Typed or Printed Name of Person Mailing Correspondence

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INI-0031-D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ROY E. MOORE, JR. et al.)
Serial No: 10/729,616) Group Art Unit: 3637
Filed: DECEMBER 5, 2003) Examiner: J. Chen
For: PLASTIC PALLET DESIGN)

VIA FACSIMILE: (703) 872-9306
Commissioner for Patents
Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 

Pamela J. Curbelo
Registration No. 34,676

Date: January 17, 2005
Customer No.: 23413
Telephone: (860) 286-2929

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Docket Number (Optional)
INI-0031-D

Application Number
10/729,616

Applicant(s)
Moore, Jr., et al.

Filing Date
December 5, 2003

Group Art Unit
3637

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------------|-------|-------|----------|-------------------------------|
| | 1 | 5,921,189 | 07/13/1999 | Estep | 108 | 57.16 | 03/26/1998 |
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U.S. PATENT APPLICATION PUBLICATIONS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.